



# Systems Engineering and Project Management (SEPM) Joint Working Group

## Guide to Life Cycles and Life Cycle Models

Issue 1.1

Mar 2017

### Summary

The Joint Working Group (JWG) on Systems Engineering / Project Management Integration was formed by INCOSE UK and APM in 2013 as a result of a recognition by both organisations that closer integration of the two disciplines should increase the probability of project success. The aim of the JWG is to develop and promote good practice and guidance dovetailing SE and PM, and promote systems thinking across the wider decision making community in the UK.

As part of the work towards this aim, the JWG has been exploring aspects of SE and PM life cycles and processes. This document reviews various life cycle representations within the PM and SE disciplines, identifies where these representations overlap and are complementary, and explores area for potential confusion or mis-understanding between the disciplines.

The information within this document does not represent an exhaustive list, but provides a gateway to different life cycle representations and how they support decision making. This document is intended to be read in conjunction with its accompanying JWG documents, *Guide to SE/PM Processes* and *Integration of Life Cycle Representations*.

In order to aid comprehension, a categorisation of the range of life cycles is proposed, grouping them into *Scenarios* (strategic life cycles), *Approaches* (flows and interactions) and *Models* (methodology frameworks). These are not exhaustive or exclusive categories, but they help to describe the different nature of life cycle representations, particularly across the PM and SE disciplines and communities.

The role of the life cycle is explored, particularly as a governance and decision framework, and how this aligns the PM and SE disciplines and the management and development life cycles. Guidance is provided on life cycle selection, explaining the factors to be taken into account when considering which life cycle model to use.

### Authors

Andrew Gray, Ken Richardson, Kate Rooke, Tony Thornburn

© 2016 A. Gray, K. Richardson, K. Rooke & T. Thornburn. Published and used by INCOSE UK Ltd., INCOSE and the Association for Project Management with permission.

The following document represents the thoughts and conclusions of the Systems Thinking SIG and not necessarily the views of the APM or INCOSE UK. It is intended to assist Project, Programme and Portfolio Management and Systems Engineering practitioners wishing to explore concepts and ideas around Systems Thinking in P3M and to stimulate discussion on the subject. Feedback on the contents of this paper should be sent to the Systems Thinking SIG (SystemsThinkingSIG@apm.org.uk). It therefore does not constitute any formal position (or liability arising) on the part of the International Council for Systems Engineering (INCOSE), INCOSE UK Ltd. or the Association for Project Management (APM), nor should any formal endorsement by these bodies be inferred.

## Contents

---

<b>1. Introduction</b>	<b>4</b>
Purpose and Scope	4
Document Structure	5
Terminology	6
Key references	6
Acknowledgements	6
<b>2. APM and INCOSE life cycle definitions</b>	<b>7</b>
Definition of a life cycle	7
System engineering life cycles	8
Project, programme and portfolio life cycles	9
Other generic life cycle depictions	10
<b>3. Categorising life cycle scenarios, approaches and models</b>	<b>11</b>
Addressing a multitude of representations	11
<b>4. Life cycle scenario category</b>	<b>13</b>
Scenario types	13
Examples of new product/facility design development and introduction	13
Examples of transformational change	14
Examples of capability or service acquisition	16
<b>5. Life cycle approach category</b>	<b>18</b>
Life cycle Approaches	18
Using linear representations to illustrate the differences	18
Base approach	18
Experimental approach	19
Incremental approach	19
Evolutionary approach	19
<b>6. Life cycle model category</b>	<b>21</b>
Management models and development models	21
Examples of P3M life cycle models	21
Examples of sequential system development life cycle models	24
Examples of iterative and dynamic system development life cycle models	26
<b>7. Category relationships and product life spans</b>	<b>29</b>
Project life cycles and product life spans	29
<b>8. Governance and feedback mechanisms</b>	<b>31</b>
The life cycle as a governance and decision framework	31
Programme tranches and project stages	32
Use of stage gates	33
<b>9. Comparisons of SE and P3M definitions</b>	<b>34</b>
SE and P3M perspectives	34
System-of-interest life cycle vs a project/programme life cycle	34
Commonality of descriptions	35
Use of Vee Model to depict programme management strategy	35
<b>10. Life cycle selection and tailoring</b>	<b>37</b>
Align scenarios with strategic direction	37
Selecting the life cycle approach	37
The influence of risk	39
Follow, but monitor	40
Combine, but do not confuse	41

## Figures

Figure 1:	Generic Life Cycle Representation (Adcock & Farncombe)	9
Figure 2:	Linear Project Lifecycle (from APMBOK)	9
Figure 3:	Linear programme lifecycle (from APMBOK)	10
Figure 4:	Rational unified process life cycle	10
Figure 5:	There are many different life cycle representations	11
Figure 6:	A New Product Design Development and Introduction framework (©Cummins Turbo Technologies)	14
Figure 7:	Panoptic Software Development Process (©Panoptic Development Inc)	14
Figure 8:	RIBA Plan of Work 2013 (©Royal Institute of British Architects)	14
Figure 9:	Transition from Current to Future State	15
Figure 10:	NHS Change Model (©NHS)	16
Figure 11:	UK MoD CADMID & CADMIT Acquisition Life Cycles	17
Figure 12:	US DoD Acquisition Life Cycle	17
Figure 13:	Example linear life cycle used to illustrate Base Approach	19
Figure 14:	An example of an Experimental Approach	19
Figure 15:	An example of an Incremental Approach	19
Figure 16:	An example of an Evolutionary Approach	20
Figure 17:	Simplified representation of PRINCE2® project management life cycle process model	22
Figure 18:	ISO21500:2012 model of project phases	22
Figure 19:	A representation of the Managing Successful Programmes® life cycle model	23
Figure 20:	Portfolio definition and delivery cycles (after Management of Portfolios®)	23
Figure 21:	Portfolio life cycle definition (after APMBOK)	24
Figure 22:	Example Waterfall Models	25
Figure 23:	Example extended Vee Model (after US DoT Federal Highway Administration)	26
Figure 24:	Boehm's Spiral Development Model	27
Figure 25:	A representation of an agile development life cycle model (after Ambler & Lines)	28
Figure 26:	Comparison of corporate business, facility/product and project life spans (Wideman 1987)	29
Figure 27:	Hypothetical example illustrating life cycle categories and product life-span	30
Figure 28:	Example use of Stage Gates within life cycle Phases at Airbus	32
Figure 29:	Example use of Stage Gates within the life cycle (©Cummins Turbo Technologies)	33
Figure 30:	SE and P3M – different perspectives on change	34
Figure 31:	Programme Management as a Vee Model (encompassing SE elements)	35
Figure 32:	Obeng's descriptions of project types	38

## Tables

Table 1:	Workstreams within the APM/INCOSE Joint Working Group	4
Table 2:	Generic Systems Engineering Life Cycle Stages (from SEHBK)	8
Table 3:	Life cycle approach selection table (adapted from Adcock & Farncombe 2009)	39
Table 4:	An example of development model selection based on consideration of risk	40

# 1. Introduction

---

## Purpose and Scope

In 2013 the UK chapter of the international council on systems engineering (INCOSE UK) and the association of project management (APM) founded a joint working group to realise this potential of collaborative working between project management (PM)<sup>1</sup> and systems engineering (SE)<sup>2</sup>. This Joint Working Group was arranged into several Workstreams as shown in Table 1, of which Workstream 8 was set up to look at the processes and life cycles involved across the two disciplines.

*Table 1: Workstreams within the APM/INCOSE Joint Working Group<sup>3</sup>*

What are the benefits?	
WS 1	Compelling value proposition
How to deliver the benefits?	
WS 8	Processes and lifecycles
WS 4	Roles and responsibilities
WS 6	Competency framework
WS 7	Education and training
How to promote the benefits?	
WS 2	Communication
WS 3	Guidance material
WS 5	Case studies

There are 3 main Objectives of Workstream 8 “Processes and Life Cycles”:<sup>4</sup>

1. To identify where SE and PM models, approaches and ways of working overlap and are complementary, and identify the nature of the relationships between the two disciplines.
2. To develop (where appropriate) a set of unified processes and lifecycle models (or look to utilise existing unified models and processes) .

---

<sup>1</sup> The term ‘project management’ in this context encompasses all aspects of project, programme and portfolio management (P3M)

<sup>2</sup> SE is an interdisciplinary approach and means to enable the realization of successful systems. Successful systems must satisfy the needs of its customers, users and other stakeholders (SEBoK v 1.7 BKCASE October 28,2016)

<sup>3</sup> For further details on the APM/INCOSE JWG on SE/PM Integration, see JWG document “Aims and Objectives”, Version 1

<sup>4</sup> See APM/INCOSE JWG on SE/PM Integration, “Workstream 8 Project Brief”, Version 1, September 2013

3. To communicate, review and exploit these processes and lifecycle models amongst the PM and SE communities.

This document addresses Objectives 1 and 3 from a life cycle perspective. When looking at life cycles it is worth noting that we are considering any change situation, including the introduction or modification of products or capabilities. The purpose of this document is to form a framework around which key messages and information can be captured related to the subject of life cycles within the PM and SE environments. This therefore also means that information can be provided in support of the other Workstreams.

It is **not** intended as an exhaustive guide to the range of life cycle representations that are used.

A life cycle should be chosen based on what works for the undertaking in question, and therefore selecting and tailoring a life cycle is a key function. This guide aims to equip the reader with a better understanding of the options available in order to support this decision and address the complexity often associated with projects that require both a strong SE and PM function.

This guide is not intended to compare and review individual processes within the life cycles. This will be undertaken in an accompanying publication “**Guide to SE and P3M Processes**”. Developments in integrating life cycle representations identified in this document can be found in the third document in this series - “**Integrated Life Cycle Representations**” (and which therefore specifically addresses Workstream Objective 2).

## Document Structure

This document explores the relationships between lifecycle representations in P3M and Systems Engineering through 10 chapters. In addition to this introduction, these chapters cover:

<i>What is a life cycle?</i>	<b>APM and INCOSE life cycle definitions.</b> A comparison of various definitions of a life cycle and whether any conflicts arise from differences in definitions.
<i>What sort of life cycles are there?</i>	<b>Categorising life cycle scenarios, approaches and models.</b> Describes a means of categorisation of the wide range of different life cycle representations, and introduces the Scenario, Approach and Model categories.
<i>What is a life cycle scenario?</i>	<b>Life cycle scenario category.</b> A review of certain P3M process frameworks, covering those within the APM Body of Knowledge, the PMI Body of Knowledge, ISO standards and the Global Best Practice Suite from Axelos.
<i>What is a life cycle approach?</i>	<b>Life cycle approach category.</b> Identification of the overlap of processes included within SE and P3M frameworks, using the ISO standards for a back-to-back comparison.
<i>What is a life cycle model?</i>	<b>Life cycle model category.</b> Identification of key areas where SE and P3M processes can combine or interact to provide an enhanced performance or output.
<i>How do scenarios, approaches and models interact?</i>	<b>Category relationships and product life spans.</b> A review of how the scenarios, approaches and models combine, and how where overlaps and frictions can arise between SE and P3M processes, including the relationship between the product life span and the project life cycle.
<i>How do life cycles help provide control?</i>	<b>Governance and feedback mechanisms.</b> Explores how PM and SE life cycle representations underpin aspects of governance and provide a decision framework, particularly through the use of stage gates.
<i>What differences are there between SE and PM perspectives?</i>	<b>Comparisons of SE and P3M definitions.</b> The consideration of the different perspectives between the SE and PM disciplines, and how a system representation of the programme life cycle could be used.
<i>How do we select the most appropriate life cycle representation?</i>	<b>Life cycle selection and tailoring.</b> Considerations to be taken into account when selecting life cycle representations to be used as a foundation for defining and communicating the work to be undertaken.



## Terminology

The term “life cycle” can also be denoted by “lifecycle” or “life-cycle”. In this document the term “life cycle” is used throughout except where providing a direct reference to a source that uses a different form.

The term P3 is used to denote Project, Programmes and Portfolios (as defined within the APM Body of Knowledge), and P3M denotes Project, Programme and Portfolio Management. Where the discussion only refers specifically to Projects and Programmes, the term PPM will be used. SE is used to denote Systems Engineering approaches, models, processes and ways of thinking.

The term ‘stage’ is used in the INCOSE SE Handbook to denote an element of a life cycle. The term ‘phase’ is typically used in P3M literature, for example the APM Body of Knowledge. Whilst these are generally interchangeable, the term ‘stage’ has specific connotations (for example in PRINCE2®) and so in this document the term ‘phase’ will be used to denote an element of a life cycle (except where a specific reference uses an alternative term).

## Key references

Unless otherwise referenced, information is taken from the INCOSE System Engineering Handbook (SEHBK) v3.2.2 (2011) or the APM Body of Knowledge (APMBOK) 6<sup>th</sup> Edition (2012). Key references will also include information from the ISO Standard on *Systems and Software Engineering – System life cycle processes* (ISO15288:2015) and the ISO Standard on *Project Management* (ISO21500:2012)<sup>5</sup>. Use has also been made of the information contained within the *Guide to the System Engineering Body of Knowledge*<sup>6</sup>.

## Acknowledgements

The authors would like to acknowledge in particular the contribution of Dr. James Goodwin to the sections on life cycle categorisation, as well as the inputs, advice and support of the other members of the Joint Working Group.

---

<sup>5</sup> Note that ISO21502 (Project and Programme Portfolio Management) and ISO21504 (Programme Management) are currently in draft form.

<sup>6</sup> *Guide to the System Engineering Body of Knowledge*, Fourth Edition INCOSE-TP-2003-002-04 2015, available at <http://www.sebokwiki.org/>

## 2. APM and INCOSE life cycle definitions

---

*In this chapter we review the various definitions of a life cycle and whether these definitions give rise to tensions between the Systems Engineering and P3M disciplines.*

### Definition of a life cycle

Within various key reference sources, there are a number of definitions of a life cycle.

Collins Dictionary<sup>7</sup> defines a life cycle as

*The life cycle of something such as an idea, product, or organization is the series of developments that take place in it from its beginning until the end of its usefulness.*

ISO15288:2015 defines a life cycle as

*evolution of a system, product, service, project or other human-made entity from conception through retirement.*

ISO 21500:2012 defines a project life cycle as

*A collection of project phases spanning the period from project start to project end, during which activities are performed using resources to provide deliverables. Phases are divided by decision points which vary according to the organisational environment.*

The APMBOK<sup>8</sup> defines a life cycle as

*A life cycle defines the inter-related phases of a project, programme or portfolio and provides a structure for governing the progression of the work.*

The SEHBK<sup>9</sup> does not define what a life cycle is *per se* (as it draws upon ISO15288), but does state that its purpose is

*To establish a framework for meeting the stakeholder's needs in an orderly and efficient manner for the whole life cycle.*

From these different definitions it can already be seen where confusion between SE and P3M might lie in discussing appropriate life cycles. The key difference is that a project life cycle ends at a defined point when

---

<sup>7</sup> Collins Learner Dictionary, online version, [www.collinsdictionary.com/dictionary/english-cobuild-learners/life-cycle](http://www.collinsdictionary.com/dictionary/english-cobuild-learners/life-cycle) [accessed 9th September 2014]

<sup>8</sup> See APMBOK Section 1.1.6, "Life cycle"

<sup>9</sup> See SEHBK Section 3.1, "Generic Life-Cycle Stages"

the project ends, whereas a system life cycle ends on disposal/retirement of the system itself. Although the two could correspond (for example in an *evolutionary life cycle approach*<sup>10</sup>), typically this is not the case.

### System engineering life cycles

The SEHBK describes the lifecycle for any system-of-interest as encompassing 7 generic ‘stages’ as shown in Table 2 below. The stages are generally sequential (overlaps do exist) although it is to be noted that Utilisation and Support run in parallel during the operational life of the system-of-interest. Within the definition Decision Gates exist between each of the stages<sup>11</sup>.

Table 2: Generic Systems Engineering Life Cycle Stages (from SEHBK)

LIFE CYCLE STAGES	PURPOSE	DECISION GATES
<b>Exploratory Research</b>	Identify stakeholders’ needs Explore ideas and technologies	Decision options <ul style="list-style-type: none"> <li>- Proceed with next stage</li> <li>- Proceed and respond to action items</li> <li>- Continue this stage</li> <li>- Return to preceding stage</li> <li>- Put a hold on project activity</li> <li>- Terminate project</li> </ul>
<b>Concept</b>	Refine stakeholders’ needs Explore feasible concepts Propose viable solutions	
<b>Development</b>	Refine system requirements Create solution description Build system Verify and validate system	
<b>Production</b>	Produce systems Inspect and verify	
<b>Utilisation</b>	Operate system to satisfy users’ needs	
<b>Support</b>	Provide sustained system capability	
<b>Retirement</b>	Store, archive, or dispose of system	

A similar representation of a generic system-of-interest life cycle has been presented by Rick Adcock & Andrew Farncombe<sup>12</sup> and is shown in

Figure 1.

<sup>10</sup> See Section 5 – a project could, for example, encompass an evolutionary step taking in the utilisation and disposal of the system before moving to the next step, or be a specific experimental element which feeds into the ‘main’ project.

<sup>11</sup> For a discussion on the role of decision gates (and examples), see *Governance and feedback mechanisms*

<sup>12</sup> *Are our life-cycle approaches up to current and future needs?*, Adcock R., Farncombe A., INCOSE UK Autumn Assembly (2009)

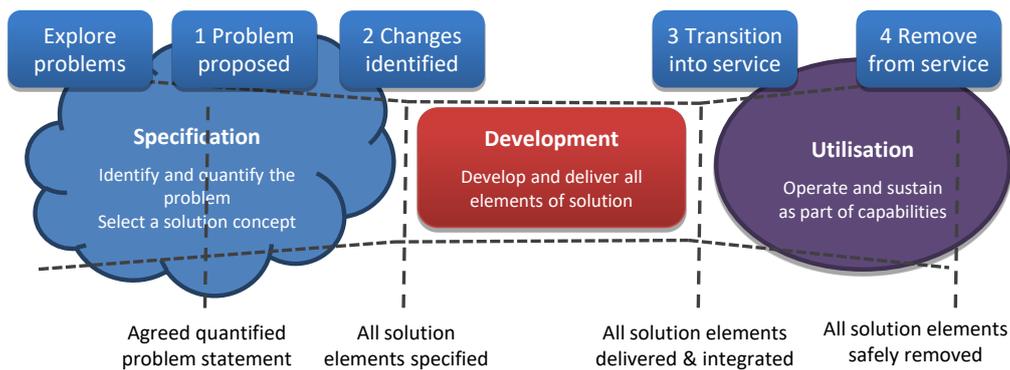


Figure 1: Generic Life Cycle Representation (Adcock & Farncombe<sup>12</sup>)

### Project, programme and portfolio life cycles

The APMBOK describes project, programme and portfolio life cycles. The generic project life cycle, shown in Figure 2, recognises both a traditional project life cycle (to delivery of product) an extended life cycle (consideration of benefits to be realised) and a full product life cycle which some project applications have to consider (for example when undertaking Whole Life Costing). It is also to be noted that PRINCE2® also differentiates between Project Life Cycle (start-up to handover of product) and Product Life Span (initial idea to removal from service)<sup>13</sup>.

The project life cycle as defined in the APMBOK is composed of 5 phases: Concept, Definition, Development, Handover and Closure and, where appropriate, Benefits Realisation.

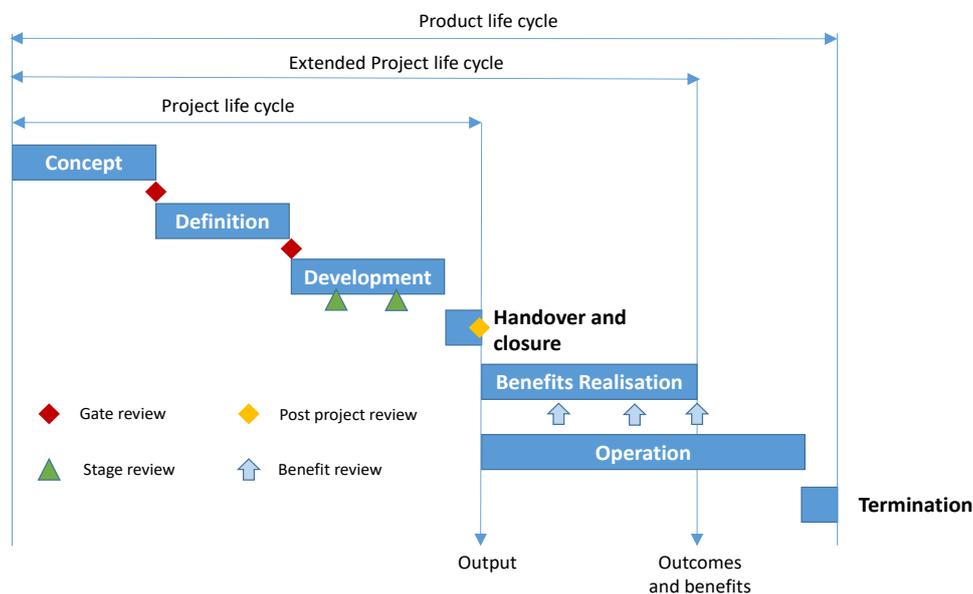


Figure 2: Linear Project Lifecycle (from APMBOK)

Figure 3 shows the linear programme life cycle from the APMBOK. The Concept and Definition phases here relate to the whole programme; the Project Delivery phase includes development phases of individual projects (which would typically have been defined in the Programme Definition phase). Furthermore the Project Delivery phases is divided into Tranches which represent step changes in capability (and some benefits)

<sup>13</sup> Managing Successful Projects with PRINCE2, 2009, The Stationery Office

delivered via the Projects. Project lifecycles could be wholly within Tranches or may span Tranches (in which case Project Stages will typically align with Tranches).

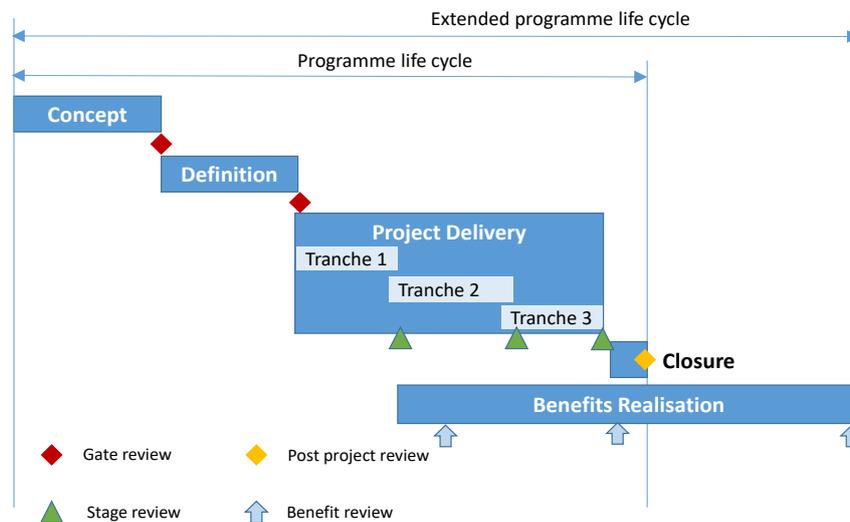


Figure 3: Linear programme lifecycle (from APMBOK)

Portfolios do not have a linear life cycle with a defined start and end. They exist within a continual definition-delivery cycle which is likely to be aligned with the organisation business planning cycle (for example a 3- or 5-year strategic plan). In this sense the portfolio cycle definition is closest to dictionary definitions of a life cycle, which are typically focused on the biological definition:

*A series of changes occurring in an animal or plant between one development stage and the identical stage in the next generation.*<sup>14</sup>

However, management control of a portfolio will be based upon a business change lifecycle that is consistently applied across the change initiatives within the portfolio, and these can typically include phases such as defining options, design & development, construction, handover & close out<sup>15</sup>. Actual business change lifecycles will be dependent on, and defined by, the business organisation.

### Other generic life cycle depictions

#### Rational Unified Process Life Cycle

The basic life cycle that underpins the Rational Unified Process<sup>16</sup> for software development consists of four phases as shown in Figure 4. This is a linear consecutive model with gates between each phases, but each phase can be broken down into iterations.



Figure 4: Rational unified process life cycle<sup>16</sup>

<sup>14</sup> Taken from Collins Dictionary Online, [www.collinsdictionary.com/dictionary/english/life-cycle](http://www.collinsdictionary.com/dictionary/english/life-cycle) [accessed 9th September 2014]

<sup>15</sup> For an example, see *Management of Portfolios*, TSO (2011), p72

<sup>16</sup> *Rational unified process: Best practices for software development teams*, Rational Software white paper, TP026B, Rev 11/01

### 3. Categorising life cycle scenarios, approaches and models

In this chapter we address the wide range of different life cycle representations and why it is necessary to devise a categorisation framework to help explore the different aspects of life cycle representations.

#### Addressing a multitude of representations

There are many different representations of the means by which life cycles are deployed or navigated and act as decision frameworks, as illustrated in Figure 5. These can be influenced by objectives, environments, organisations and other incentives or constraints.



Figure 5: There are many different life cycle representations

In order to aid the aggregation and communication of information, a common terminology (*Scenarios, Approaches and Models*) has been adopted in order to categorise these different representations<sup>17</sup>. The following Sections have been devised to define these categories and provide examples of:

- **Life Cycle Scenarios:** The term life cycle scenario is used to describe a context of the high-level strategies or plans to achieve specific goals. These are representations of a situation or environment where a goal is set and achieved. These scenarios will be specific to challenges, conditions, organisations, environments, market requirements etc. *Business Change Life Cycles* as defined within Management of Portfolios® (MoP®) would be included within this group.
- **Life Cycle Approaches:** Approaches are representations of flows and interactions between discrete life cycle models. Different approaches may be used for different scenarios and/or combine different

<sup>17</sup> With thanks to Dr. James Goodwin for the original definitions of these categories

models. Approaches can be related to overall change programme strategies (when considered as part of a P3M environment).

- **Life Cycle Models:** a depiction of a methodology or framework of processes and activities within the life cycle phases that depict the elements that are undertaken and how they relate together. This definition is in line with the life cycle model definition within ISO 15288:2015 - a framework of processes and activities concerned with the life cycle that may be organized into stages, which also acts as a common reference for communication and understanding. Models would typically be employed at the project level (but this is not a rigid principle).

It must be emphasised that these categories and their descriptions are principally an aid to understanding the *purpose* of any life cycle representation, and how these representations are necessary in order to determine, and support, the execution of activities. It is not an exhaustive categorisation of all representations, nor do all representations fall neatly into these categories.

Whilst examples of each representation category are described separately in the following Sections, this does not mean that each is mutually exclusive. In reality the means by which the life cycle is navigated can be a combination of different representations. The definitions above also include a rough mapping against a portfolio, programme and project framework – these should not be taken to infer a precise unique mapping, but they are included to help illustrate the relationships between the representation categories.

An example of the relationships between these categories is given in the section following those describing each of the categories – see *Category relationships and product life spans* (p29).

## 4. Life cycle scenario category

---

*In this chapter we define and review the life cycle Scenario category and through the use of examples, explore different aspects of the category.*

### Scenario types

For the purposes of this life cycle guide, scenarios have been grouped into three types (in addition to the generic descriptions discussed in Section 2):

- **New Product or Facility Design Development and Introduction.** This category represents the development of a new or modified object, capability or facility from inception to operation or entry to market. It will be typically undertaken by organisations that are directly involved in the creation, manufacture/build and eventual operational transition or sale. It covers a diverse range of sectors, including software development.
- **Transformational Change.** This is a scenario where an organisation or entity is undertaking internal change in its business operations, from an existing state to a new desired state.
- **Capability or Service Acquisition.** This scenario covers operations that procure capabilities or services from others. It differentiates from the other scenarios in that its value chain will be focused on determining the capability or service required then defining the best means of procuring that capability or service from another party to put into operation (either by itself or by a third party).

### Examples of new product/facility design development and introduction

Cummins Turbo Technologies has a long pedigree in defining and working to a New Product Design Definition and Introduction (NPDDI) life cycle, and its PPT-VPI framework<sup>18</sup> is shown in Figure 6. This defines the route for developing and maturing a new or modified technology through to the implementation within a product that has been launched into the market. This approach is typical for industries based on developing new technology.

Software development has been the source of many definitions of generic system life cycles (including the Rational Unified Life Cycle in Figure 4), but a process defined by Panoptic Developments<sup>19</sup> and shown in Figure 7 exhibits key phases specific to the software industry.

Similarly various strategies exist for the creation of a new facility or building, an example of which is the Plan of Work<sup>20</sup> devised by the Royal Institute of British Architects as a reference document for those involved in

---

<sup>18</sup> HTi (Cummins Turbo Technologies magazine), Edition 14 (2010), available at <http://www.cumminsturbotechnologies.com/ctt/navigationAction.do?url=SiteContent+en+HTML+Downloads+Magazines>

<sup>19</sup> Panoptic Development Inc, “Demystifying the software development process”, [http://www.panopticdev.com/pages/Demystifying\\_The\\_Software\\_Development\\_Process](http://www.panopticdev.com/pages/Demystifying_The_Software_Development_Process), accessed 9<sup>th</sup> September 2014

<sup>20</sup> Royal Institute of British Architects Plan of Work 2013, available at <http://www.ribaplanofwork.com/>

the briefing, design, construction and post-occupancy process of buildings. An outline of the latest version is shown in Figure 8.

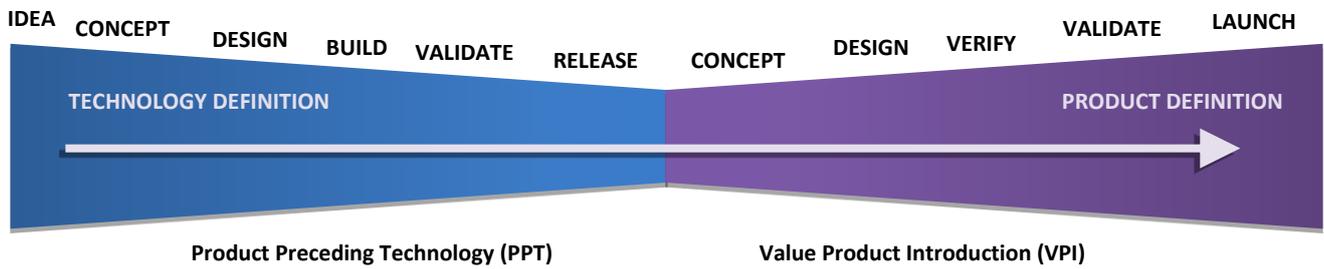


Figure 6: A New Product Design Development and Introduction framework (@Cummins Turbo Technologies)<sup>18</sup>

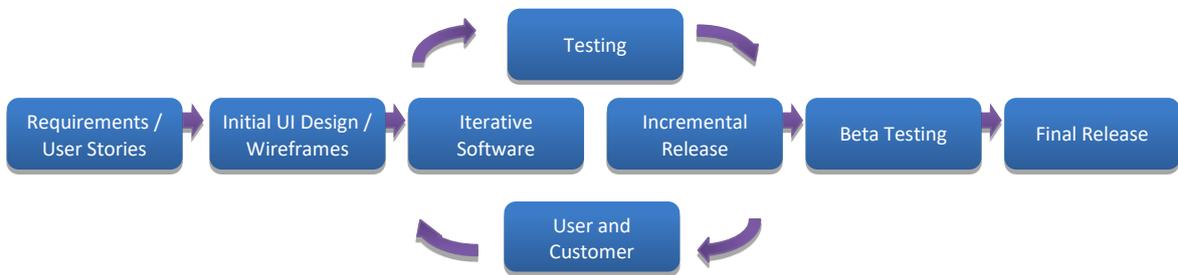


Figure 7: Panoptic Software Development Process (@Panoptic Development Inc)<sup>19</sup>

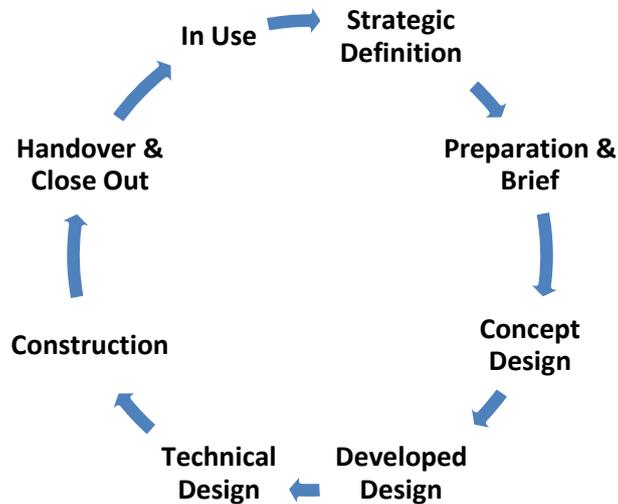


Figure 8: RIBA Plan of Work 2013 (@Royal Institute of British Architects)<sup>20</sup>

### Examples of transformational change

Transformational change programmes are characterised by a change in state in an enterprise, organisation or environment, driven by a recognised need. The catalyst for change may be internally or externally introduced; for example a drive for efficiency savings, a changing market, the introduction of a new technology into the market place, or a tension between teams within an organisation; all potentially identified from some form of risk, opportunity or performance management. The catalyst is based upon knowledge of the current state,

vision of the future state, and potential transition strategies (how to transition between states), as shown in Figure 9.

Transformational change typically include ambiguity and uncertainty over the implications and nature of changes, especially when dealing with a wide range of stakeholders, so having a clear vision of the future state allied with a consistent transition strategy is important.

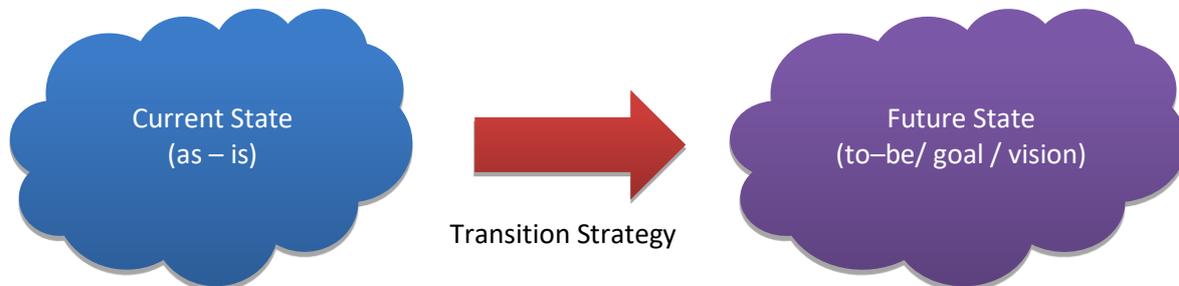


Figure 9: Transition from Current to Future State<sup>21</sup>

The catalyst or recognised need for change could result from:

- **Future State** – Visionary and linked to a perceived benefit from a change initiative (usually from an identified opportunity).
- **Current State** – Linked to identified issues or threats to the current state.
- **Transition Strategy** – A new methodology/technology that makes a future state a realistic option.

An example of a transition strategy is the NHS Change Model<sup>22</sup>, a representation of which is shown in Figure 10. This provides a consistent framework for changes within NHS England, and provides alignment and balance across the various components of change within individual change initiatives.

<sup>21</sup> Based upon Beckhard, R., and Harris, R. T., Organizational Transitions, Addison-Wesley OD Series, 1987

<sup>22</sup> "Introduction to the NHS Change Model", NHS Improving Quality, July 2013 (available at [www.changemodel.nhs.uk](http://www.changemodel.nhs.uk))



Figure 10: NHS Change Model (@NHS)<sup>22</sup>

Other examples of transformational change programmes include the formation of a single unified Scottish police force<sup>23</sup>, or the ongoing Defence Reform programme within the UK Ministry of Defence<sup>24</sup>.

### Examples of capability or service acquisition

In a capability or service acquisition environment the organisation is geared towards the robust definition and procurement and integration of a capability or service rather than the direct detailed design and manufacture environment as encapsulated in the NPDDI scenario.

An example of this is the UK Ministry of Defence. It has two life cycle representations that underpin its acquisition activities, shown in Figure 11. The CADMID cycle is used for the procurement of equipment capability, and the CADMIT cycle for the provision of services.<sup>25</sup>

Similarly the United States Department of Defense has an equipment capability life cycle that aligns to that of the UK, as shown in Figure 12.

An aspect of the acquisition scenario is the importance of the selection of an appropriate acquisition strategy. The UK MOD states<sup>26</sup>:

*The key factors in determining the long term success of a military capability is the selection of the appropriate lifecycle and the development of the most appropriate Acquisition Strategy.*

<sup>23</sup> “A learning legacy for programme management and transformational change”, APM ProgM SIG Conference 2014, available at <http://www.apm.org.uk/news/learning-legacy-programme-management-transformational-change>

<sup>24</sup> “Reforming the Ministry of Defence”, NAO Briefing for Committee of Public Accounts, Feb 2012, available at [http://www.nao.org.uk/wp-content/uploads/2012/09/Reforming\\_the\\_MoD.pdf](http://www.nao.org.uk/wp-content/uploads/2012/09/Reforming_the_MoD.pdf)

<sup>25</sup> For details refer to the UK MOD Acquisition System Guidance, available at [www.aof.mod.uk](http://www.aof.mod.uk) (registration required)

<sup>26</sup> Ibid: refer to [https://www.aof.mod.uk/aofcontent/general/lifecycles/sg\\_introduction.htm](https://www.aof.mod.uk/aofcontent/general/lifecycles/sg_introduction.htm)

Acquisition strategies can be many and varied. Patterson<sup>27</sup> describes four strategies: Commercial off the Shelf (COTS); Performance Based Contracting (PBC); Cost-Plus Contracting, and In-House Development.



Figure 11: UK MoD CADMID & CADMIT Acquisition Life Cycles<sup>25</sup>



Figure 12: US DoD Acquisition Life Cycle

<sup>27</sup> Patterson F.G., Jr., (2004/Rev.2006), LIFE CYCLES FOR SYSTEM ACQUISITION, in *Systems Engineering and Management for Sustainable Development*, [Ed. Andrew P. Sage], in *Encyclopedia of Life Support Systems (EOLSS)*, Developed under the Auspices of the UNESCO, Eolss Publishers, Oxford ,UK, [<http://www.eolss.net>]

## 5. Life cycle approach category

---

*In this chapter we define and review the life cycle Approach category and through the use of examples, explore different aspects of the category. The definitions of the Approach category have been taken (and adapted) from Adcock & Farncombe (2009)<sup>12</sup>.*

### Life cycle Approaches

Approaches are representations of flows and interactions between discrete life cycle models, and can typically describe the core skeleton of the overall plan for introducing a specific change (as opposed to the generic representation of a Scenario). Different approaches may be used for different scenarios and/or combine different life cycle models. Approaches can be related to individual change programme strategies (when considered as part of a P3M environment).

The definition of life cycle approaches follows the work of Adcock & Farncombe (2009), who described different approaches within 4 sub-categories:

- Base
- Experimental
- Incremental
- Evolutionary

### Using linear representations to illustrate the differences

As it is noted in the SEHBK, graphical representations of life cycles tend to be of a linear form, but these may hide any recursive nature of the underlying processes. The life cycle illustrations in the following descriptions of the Approach sub-categories also follow this linear tendency. They should be used to compare differences in the underlying principles of each Approach and the advantages and disadvantages of each, not to specify or dictate the exact course of action to follow in every situation.

### Base approach

A Base approach is a single pass through the life cycle phases to develop a system-of-interest. It can be tailored as required and works well for well-defined problems and stable technology, but it is difficult to deal with complex situations or problems. Figure 13 shows a set of simple life cycle phases (in a sequential model) and these will be used to only illustrate the Experimental, Incremental and Evolutionary approaches. The following approaches would be used with whatever phases/models are appropriate. For example, the SEHBK<sup>28</sup> includes an illustration of a combined incremental and evolutionary development approach (using a Vee Model).

---

<sup>28</sup> SEHBK Section 3.4.2 Figure 3-8 p34



Figure 13: Example linear life cycle used to illustrate Base Approach<sup>12</sup>

### Experimental approach

In the experimental approach, one or more experimental or demonstrator systems are used to explore high-risk issues, as shown in Figure 14. Knowledge from the experiments is used to inform the full system life cycle. This is used for unclear problems and high-risk elements. It allows for a de-risking and de-scoping of complexity in the concept phase, but it is time and resource intensive, and the experiments may not be representative.



Figure 14: An example of an Experimental Approach<sup>12</sup>

### Incremental approach

An Incremental approach extends the Base life cycle by delivering a series of increments based on a solution concept as shown in Figure 15. Each increment delivers increasing benefit to the User from an early stage and it allows for management of limited resources to cost and timescale constraints. However configuration management issues can arise due to the parallel existence of the various increments.

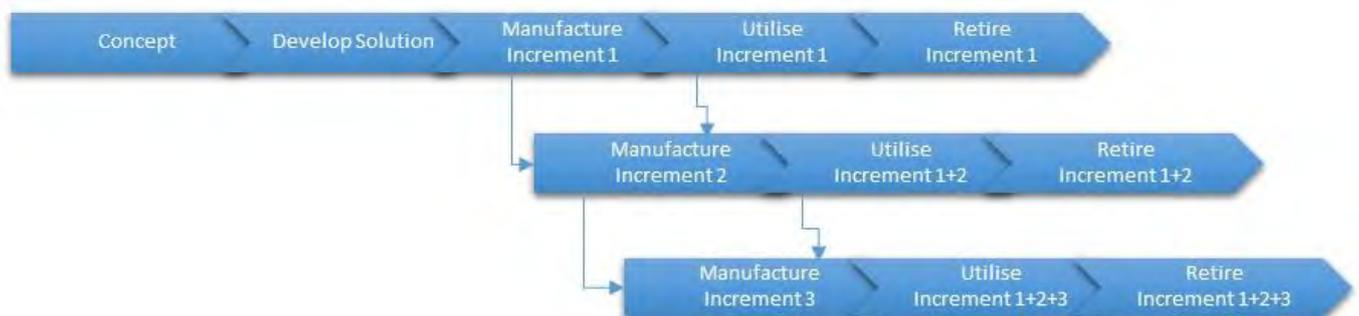


Figure 15: An example of an Incremental Approach<sup>12</sup>

### Evolutionary approach

In the Evolutionary approach each system version builds upon the knowledge gained from previous versions to tackle the overall problem or address evolved requirements caused by the introduction of the previous

version. This Approach can be used to enter the market and then develop new solutions as needed, or where the problem is changing over time. It requires continuous user involvement and feedback, and runs the risk of negative impacts to reputation from continuous changes.

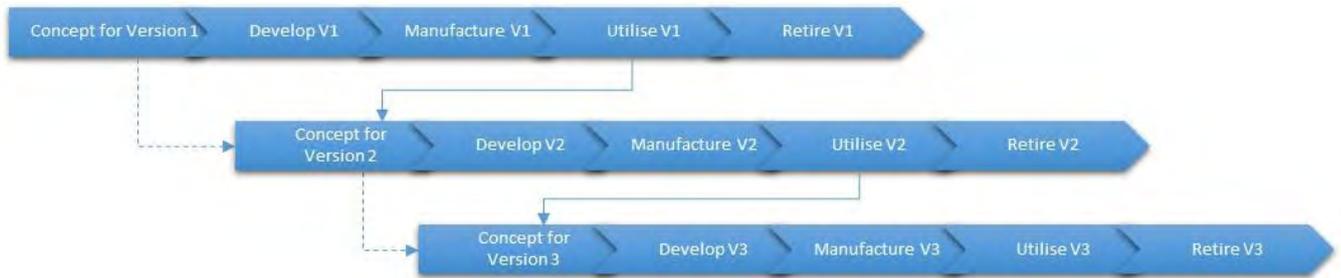


Figure 16: An example of an Evolutionary Approach<sup>12</sup>

## 6. Life cycle model category

---

*In this chapter we define and review the life cycle Model category and through the use of examples, explore different aspects of the category. The Model category includes many different representations, but these fall into two sub-categories – management models (which are typically the P3M models) and development models (typically the various different system development models).*

### Management models and development models

Both the SE and P3M disciplines have a number of life cycle models that act as frameworks for the various processes that constitute the work to be undertaken to deliver specific outputs. P3M models are focused on the governance, controls and assurance of the project, programme or portfolio life cycle (and their relationship to each other), whilst SE models typically address different solution development philosophies (whilst providing the means for management of the solution development). The basic underlying phases of initiate, define, deliver and close are common to all, and therefore both sets need to be examined to ensure that there are no key differences that would prevent appropriate management and development life cycle models working in harmony. Both management and development life cycle models act as robust decision-making frameworks.

### Examples of P3M life cycle models

#### **Project management life cycle model**

Key examples of project management life cycle models/frameworks include those of PRINCE2® shown in Figure 17, APMBOK shown previously in Figure 2, and that of the PMI Guide to the PM Body of Knowledge (PMBOK) shown in Figure 18. The life cycle definition in ISO21500:2012 is based upon that of the PMBOK.

Project management models can be shown as a linear progression, but they can typically involve iterative loops around the planning and delivery/execution phases – such as the examples in Figure 17 and Figure 18, as well as combinations following incremental or evolutionary approaches, such as the Praxis framework iterative representation<sup>29</sup> or the combinations presented in the PMBOK.

---

<sup>29</sup> See <http://www.praxisframework.org/knowledge/life-cycle> [accessed 25th August 2015]

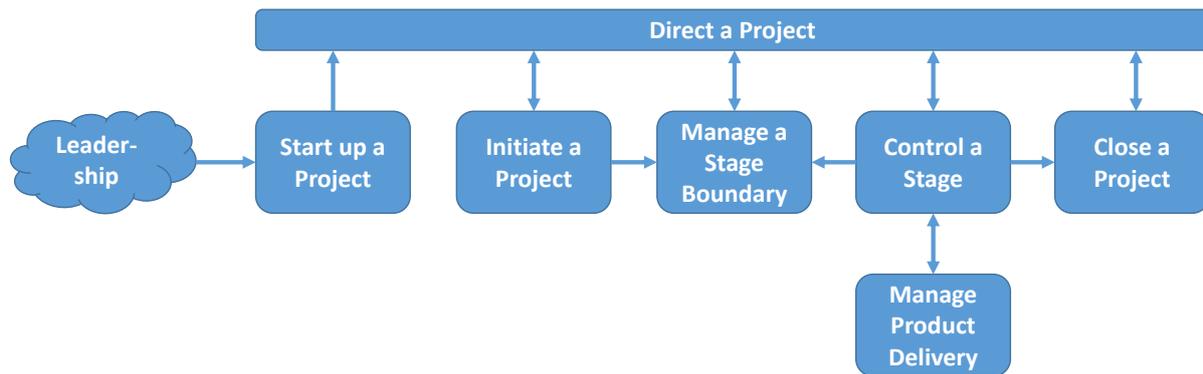


Figure 17: Simplified representation of PRINCE2® project management life cycle process model

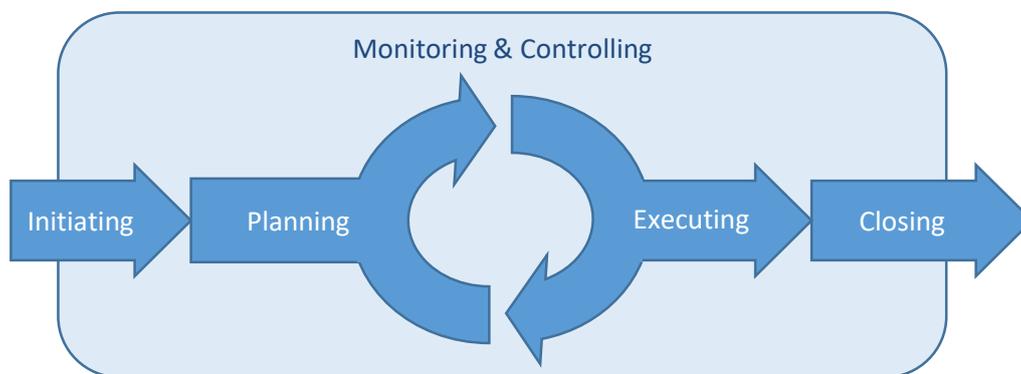


Figure 18: A representation of the ISO21500:2012 model of project phases

Further details of the specific processes associated with these life cycle models are given in the accompanying APM/INCOSE UK Joint Working Group document **Guide to SE/PM Processes**.

### Programme management life cycle model

Programme management life cycle models are based around the core initiate-define-deliver-close phases, but are more focused on the delivery of outcomes, the embodiment of changes into normal business practices (transitions) occurring at the end of key stages in the programme ('programme tranches') and the realisation of benefits from those changes.

The APMBOK programme management life cycle model was shown in Figure 3, which is similar to that of the Praxis framework<sup>30</sup> and the definition in the Gower Handbook of Programme Management<sup>31</sup>. A further representation to encompass all of these models is that of the Managing Successful Programmes® framework shown in Figure 19<sup>32</sup>.

<sup>30</sup> See <http://www.praxisframework.org/knowledge/life-cycle> [accessed 25th August 2015]

<sup>31</sup> Reiss G. et al, Gower handbook of programme management, 2006, Aldershot: Gower Publishing

<sup>32</sup> Managing Successful Programmes, 2011 ed., Norwich: The Stationery Office

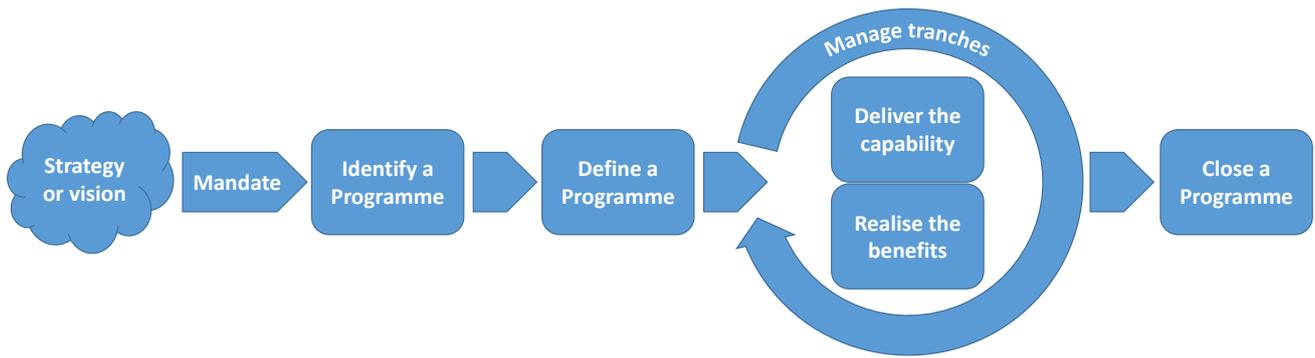


Figure 19: A representation of the Managing Successful Programmes® life cycle model

### Portfolio management life cycle model

Portfolio management differs from project and programme management in that it does not have a finite duration. It is typically represented as a sequence that flows between definition and delivery states, as in the Management of Portfolios® representation<sup>33</sup> shown in Figure 20, or the APMBOK representation in Figure 21.

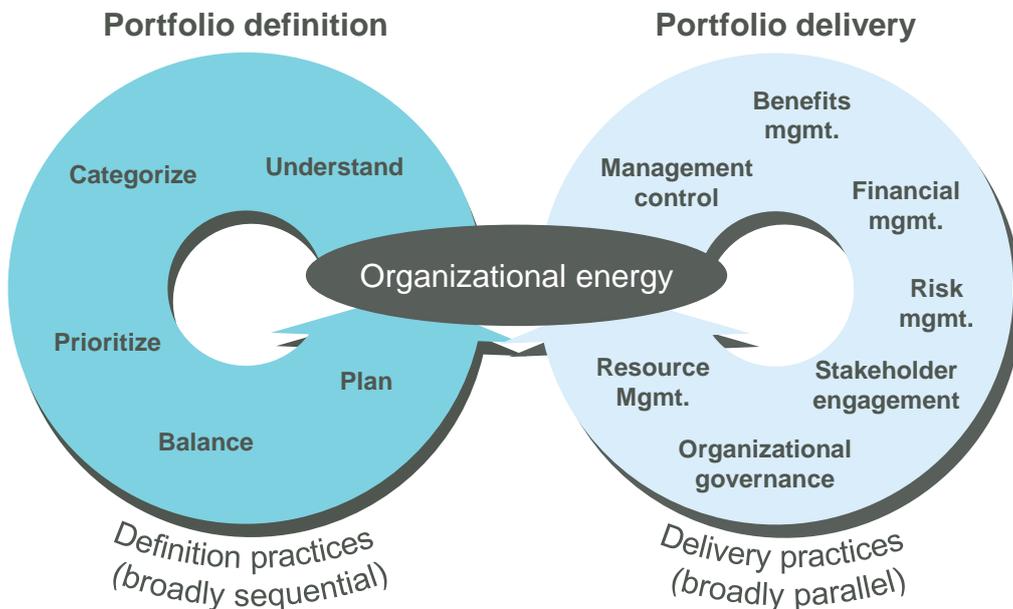


Figure 20: Portfolio definition and delivery cycles (after Management of Portfolios®)

<sup>33</sup> Management of Portfolios, 2011 ed., Norwich: The Stationery Office

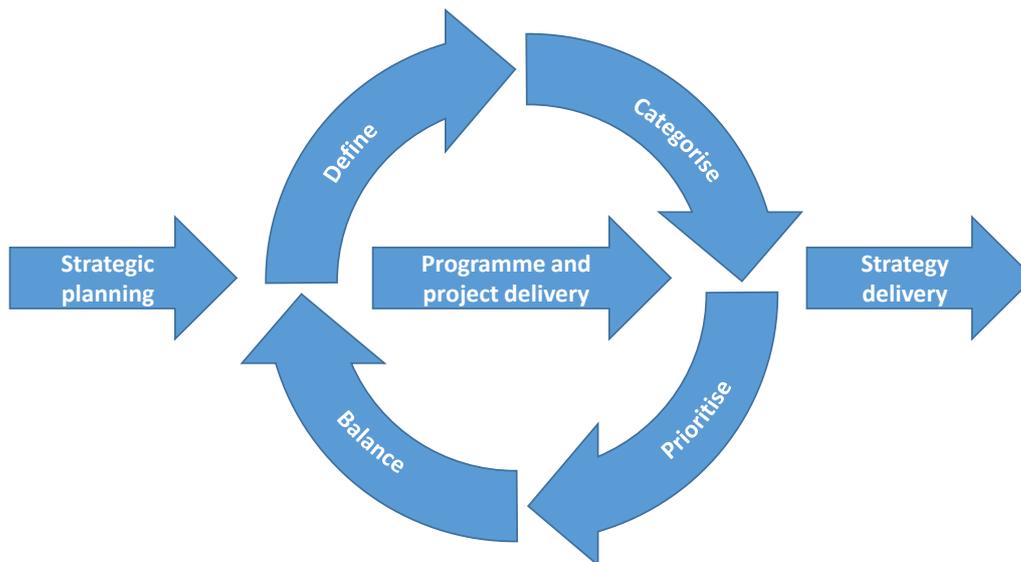


Figure 21: Portfolio life cycle definition (after APMBOK)

## Examples of sequential system development life cycle models

### Waterfall

The ‘classic’ waterfall development model is defined as a linear sequential flow where activity moves from one phase to the next only when the preceding phase is complete and frozen. Different phases may be represented in the model, for example the variant shown in Figure 22, and these will be determined by the scenario in which the model is used. The term ‘waterfall’ implies a non-returnable flow from conception to completion.

A ‘modified’ variant of the waterfall model introduces feedback mechanisms between the phases, as shown in Figure 22, which then breaks the principle of frozen phases in the ‘classic’ version.

It must be noted that there is an argument that the ‘classic’ model is never used in practice nor recommended, but represents a baseline against which other models are compared and contrasted<sup>34</sup>.

<sup>34</sup> “There’s no such thing as the Waterfall approach (and there never was)”, Weisert C., Information Disciplines Inc., Feb 2003 (available at <http://www.idinews.com/waterfall.html>)

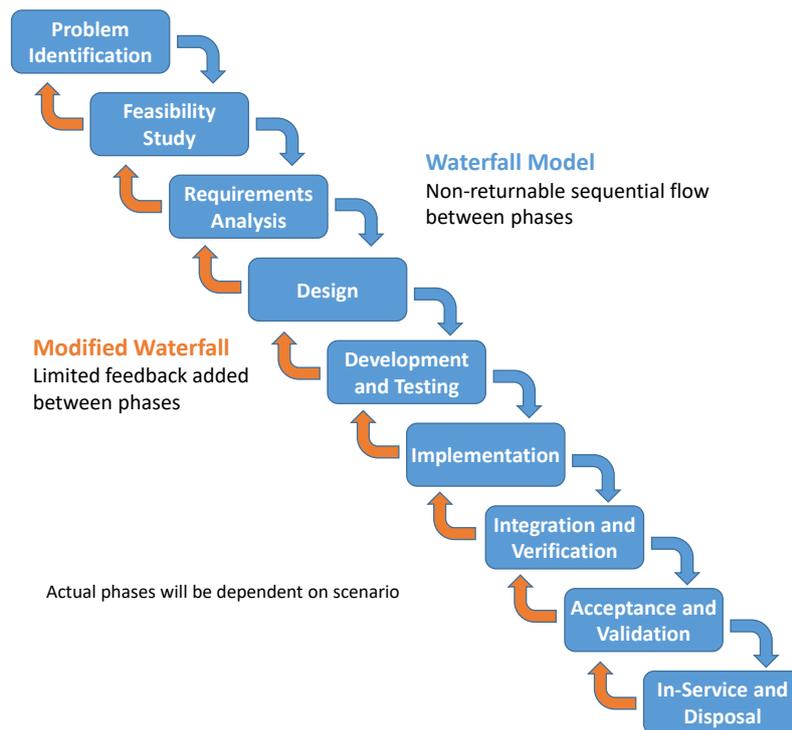


Figure 22: Example Waterfall Models

### Vee Model

The Vee Model (or V Model) is another example of a sequential model describing the systems development life cycle, but this model encapsulates the concept of verification and validation occurring through the life cycle. It still has a time dimension from left to right, but some localised iterations can now be captured as movements in a vertical axis at any specific time in the model. For further details on the nature of these iterations refer to the SEHBK<sup>35</sup>.

There is no single definition of a Vee Model, but the basic underlying philosophies are illustrated in Figure 23. This example, taken from the US Department of Transport Federal highways Administration<sup>36</sup>, is also an illustration of an extended Vee Model – these include upstream concept definition phases and downstream operations and retirement phases.

For further details on the Vee Model, refer to the SEHBK.

<sup>35</sup> SEHBK, Section 3, pages 27 & 28, 31

<sup>36</sup> US Department of Transport Federal Highways Administration Office of Operations, “Designing for Transportation Management and Operations: A Primer”, Chapter 2: Putting it into Action – Policies and Procedures, <http://www.ops.fhwa.dot.gov/publications/fhwahop13013/ch2.htm> [accessed 16th Sept 2014]

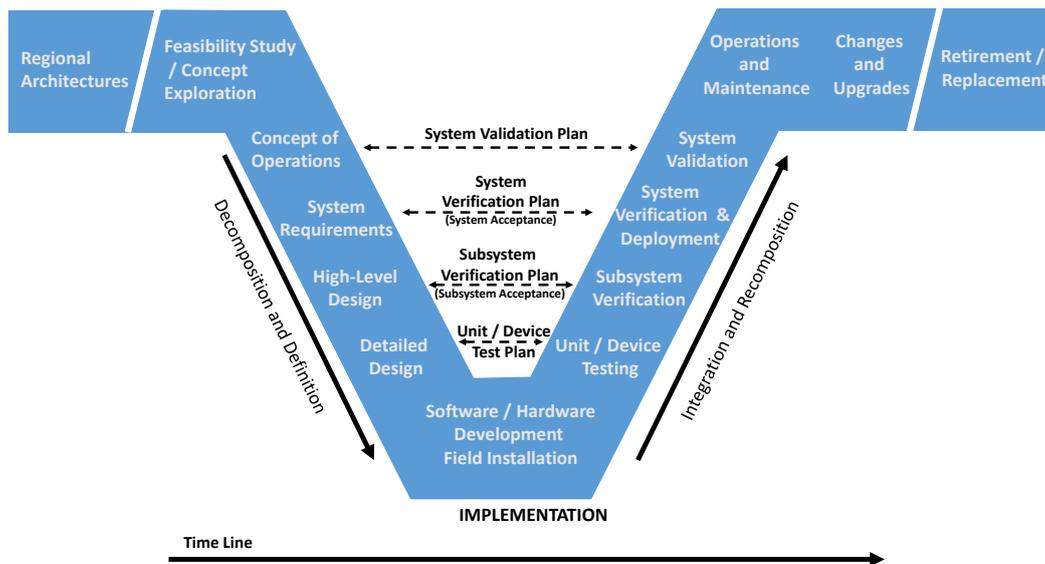


Figure 23: Example extended Vee Model (after US DoT Federal Highway Administration)<sup>36</sup>

## Examples of iterative and dynamic system development life cycle models

### Rapid Application/Prototyping Development

This development approach breaks a project down into smaller elements, and stages are developed iteratively using prototypes to accelerate development and validate or refine requirements. The emphasis with rapid application approaches is on development compared to more traditional waterfall approaches which place the emphasis on planning and control. Prototypes are often used in addition to, or sometimes instead of, design specifications as the requirements are adjusted in response to the lessons learnt on previous stages. Initially developed in the software space to give a fast response to changes in the user interface requirements its use is now more widespread in line with the agile development philosophy gaining traction in the wider PM community.

### Spiral Development

The Spiral development model is a cyclical prototyping model for growing a system definition and implementation through incremental steps and decreasing risk through the process<sup>37</sup>. Each cycle includes reviews to ensure stakeholder commitment and acceptance of the evolving system solution developments.

In the Spiral Development model (Figure 24), experience increases through evolution of prototype solutions to the problem, and thus risk should reduce with each cycle.

Advantages also include gaps in requirements being identified as work progresses in more detail and the model flexibility allows for the implementation of changes at stages during the development. However this requires a robust foundation in understanding and managing risk which can come at a considerable cost.<sup>38</sup>

<sup>37</sup> Boehm B., Hansen W.J., "Understanding the spiral model as a tool for evolutionary acquisition", USC/Carnegie Mellon University, Jan 2001, available at <http://sunset.usc.edu/csse/TECHRPTS/2001/usccse2001-501/usccse2001-501.pdf>

<sup>38</sup> Sabharwal S., Software engineering, 2009, New Age International, pp18-19

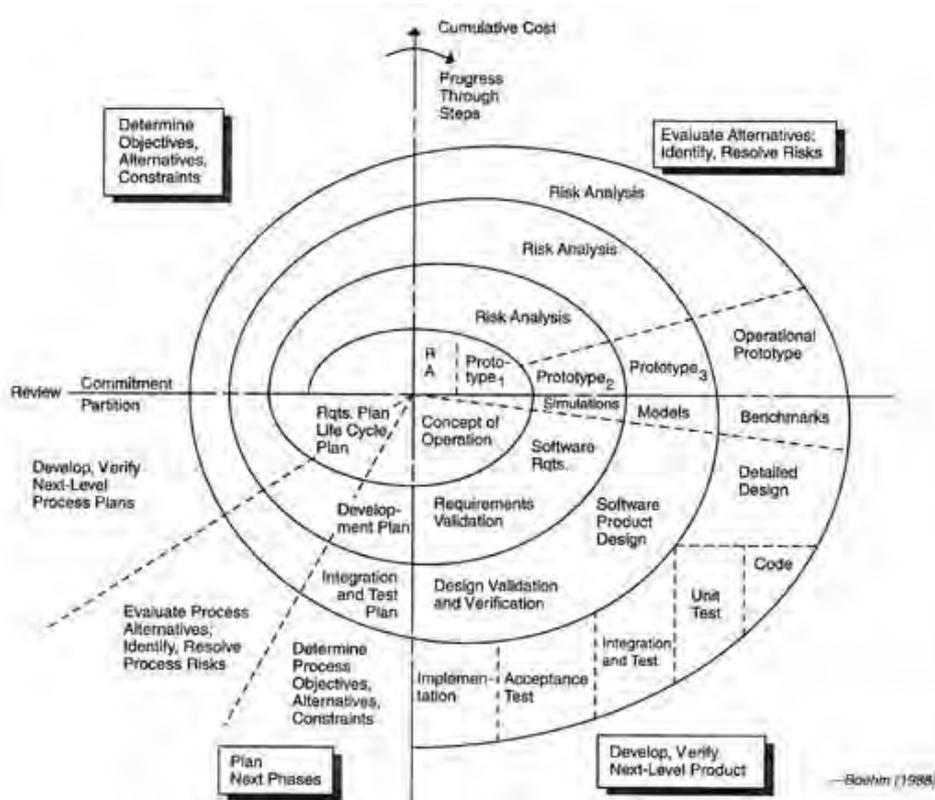


Figure 24: Boehm's Spiral Development Model<sup>39</sup>

## Agile Development

An agile development method approaches a set of objectives by breaking the tasks down into small incremental steps (based on a prioritisation defined by the customer) with only minimal planning and with each step resulting in a working system or sub-system delivered to the customer.

An agile development method is also characterised by cross-functional teams working in short bursts. It therefore has an ability to react quickly to changes in dynamic environments and adapt the system being developed to results already generated.

There are a number of different (or related) agile methodologies. These include

- Extreme programming (XP)
- Scrum
- Dynamic Systems Development Method (DSDM)
- Agile modelling
- Rational Unified Process

Lean and Kanban development philosophies are also often grouped with agile methods – these approaches have their roots in manufacturing systems methodologies whilst the others are normally synonymous with, and have grown from, software development.

The life cycles associated with activities in an agile environment are therefore dominated by the iterative short bursts (“sprints”) and reviews as illustrated by the life cycle representation shown in Figure 25.

<sup>39</sup> Boehm B., A spiral model of software development and enhancement, IEE Computer, 1988

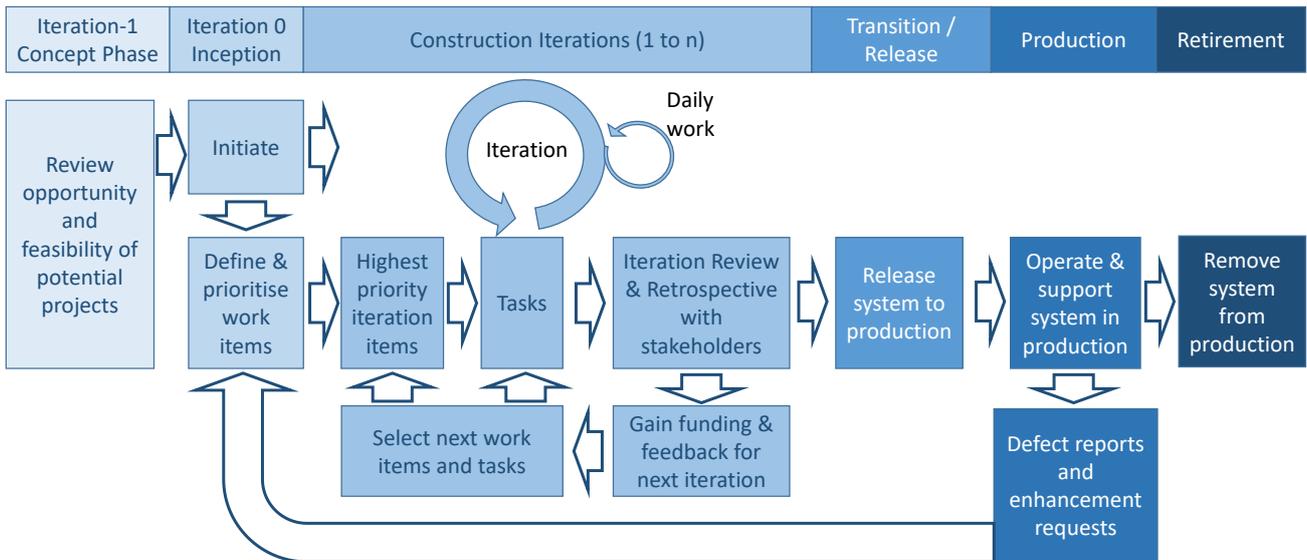


Figure 25: A representation of an agile development life cycle model (after Ambler & Lines<sup>40</sup>)

<sup>40</sup> Ambler S W, Lines M, Disciplined Agile Delivery, 2012, IBM Press  
 (information also available at <http://www.ambysoft.com/essays/agileLifecycle.html>)

## 7. Category relationships and product life spans

In this chapter we review how the scenarios, approaches and models combine, and how where overlaps and frictions can arise between SE and P3M processes, including the relationship between the product life span and the project life cycle.

### Project life cycles and product life spans

A difference between the product life cycle (life span) and the project life cycle was indicated previously in Figure 2. This difference was also described in the initial white paper describing the PMI's Project Management Body of Knowledge in 1987, including the diagram shown in Figure 26.

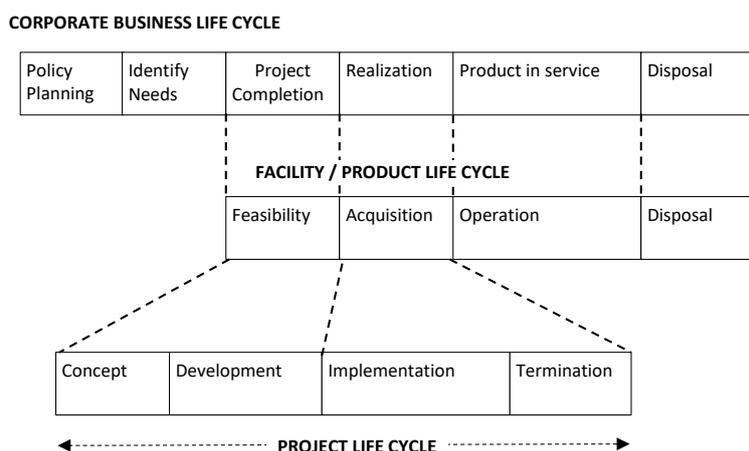


Figure 26: Comparison of corporate business, facility/product and project life spans (Wideman 1987)<sup>41</sup>

A similar relationship can be defined using the three categories used to group the different life cycle representations. Figure 27 shows one hypothetical example for a business which produces new products for a market. In this situation the business has a generic product introduction life cycle scenario (its business change life cycle), and because of the technological risks in this new product it decides to employ a change programme strategy that uses an experimental approach in the concept definition phase. This approach runs two projects in parallel, one a low-risk low-gain solution and the other a high-risk high-gain solution. For the high-risk project (“Option 2”) the programme decides to employ an agile development model within this project (within an appropriate project management framework) in order to de-risk and develop a potential solution within the time available.

<sup>41</sup> Wideman, R. M., Chairman, PMBOK Standards Board, The Framework Part 1 The Rationale, Project Management Body of Knowledge (PMBOK), Project Management Institute, PA, 1987, p1-1., cited in Wideman R.M., “The role of the project life cycle (life span) in project management”, 2004, available at <https://www.google.com/url?q=http://maxwideman.com/papers/plc-models/plc-models.pdf>

Once the concept is chosen and the programme moves to the business's Product definition & Delivery Phase, it employs an incremental approach as conditions are such that an early release to market will establish a required foothold whilst the second incremental build will consolidate the position through embodying the lessons from the first build and gaining further share with a refined product. Projects are run to define and deliver each build (and an early prototype), with transitions to steady-state manufacturing and sales operations at appropriate junctures which completes the programme life cycle. The products are then employed by the customers until a point where they are no longer required and are disposed of. This point completes the product life span.

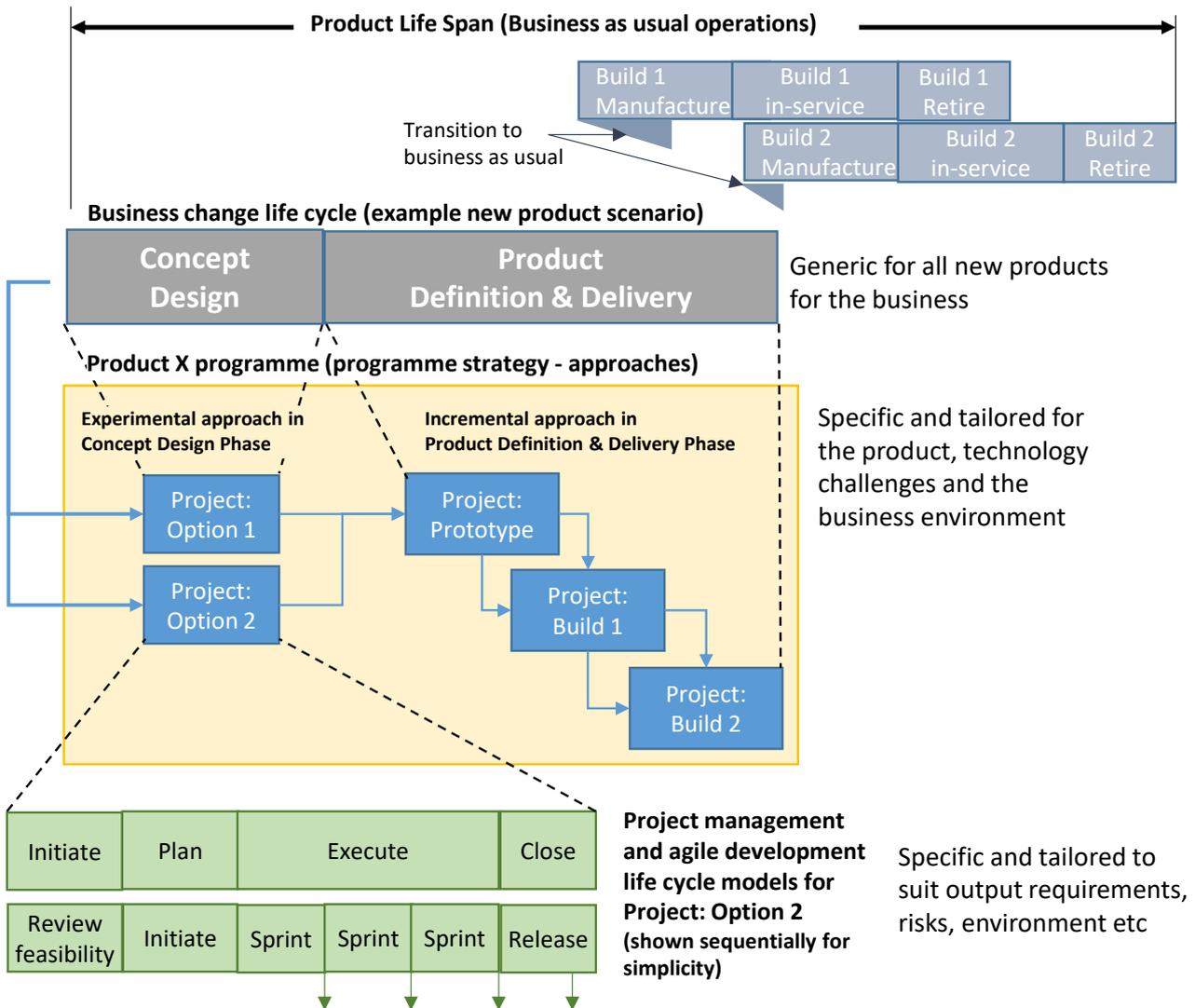


Figure 27: Hypothetical example illustrating life cycle categories and product life-span

## 8. Governance and feedback mechanisms

---

*In this chapter we explore how PM and SE life cycle representations underpin aspects of governance and provide a decision framework, particularly through the use of stage gates.*

### The life cycle as a governance and decision framework

Both SE and P3M life cycles are an important aspect of the governance mechanism for undertaking any change initiative and/or developing a system-of-interest. The life cycle is recognised as one of the key areas of governance in P3M and SE as it

*provides the structure that underpins delivery<sup>42</sup>*

and

*a framework within which organization management has high-level visibility and control of Project and Technical processes<sup>43</sup>.*

A key focus in programme management is in the management of uncertainty: original assessments will become more reliable and accurate as the programme proceeds. However it is not advisable to commit large levels of resource if the outcome is uncertain. A programme life cycle provides a risk-based framework that balances the commitment of resource with the degree of certainty available<sup>44</sup>.

Portfolio definition is dependent on the existence or establishment of a business change life cycle to underpin the management control practice in the delivery of the portfolio<sup>45</sup>. A business change life cycle controls the delivery of all change initiatives or categories of initiative (projects or programmes), and this is recorded in the definition of the Portfolio Management Framework. The business change life cycle provides a basis for governance oversight and ensuring that activities in the portfolio are monitored and reviewed consistently.

*Portfolio Management ensures the effective and consistent use of a business change lifecycle which provides a review of the continued viability and business value of initiatives...<sup>46</sup>*

---

<sup>42</sup> APMBOK+, Governance, available at <http://www.knowledge.apm.org.uk/bok/governance> [accessed 17th September 2014]

<sup>43</sup> ISO15288:2008 within SEHBK, p21

<sup>44</sup> Gower Handbook of Programme Management, 2006, Reiss G. et al, Aldershot: Gower

<sup>45</sup> Management of Portfolios, 2011, The Stationery Office (p71-72)

<sup>46</sup> Ibid, p16

## Programme tranches and project stages

The dividing of the SE or P3M life cycle into phases has been discussed previously in Section 2. The term Project Stage is used (principally within PRINCE2) to define a collection of activities and products whose delivery is managed as a unit and whose completion is marked by a management control point<sup>47</sup>. In this definition, a Project Stage does not have to represent the whole of a life cycle phase – an example is shown in Figure 28 where the life cycle phases are further divided into Stages, each with a Stage Gate (see *Use of stage gates*).

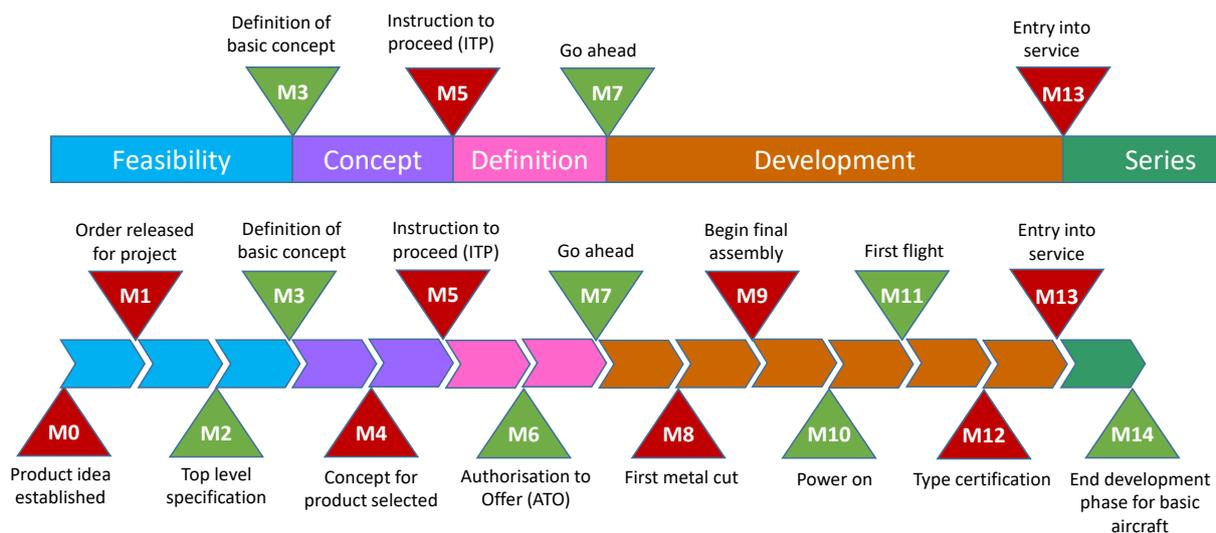


Figure 28: Example use of Stage Gates within life cycle Phases at Airbus<sup>48</sup>

Programme Tranches are defined in order to provide step changes in capability and benefit delivery, and as such can then underpin the validation of sub-systems or systems (if defined appropriately) against user needs. This level of integration should be supported by the alignment of the Integrated Test, Acceptance and Evaluation Plan and the Benefits Realisation Plan.

A phased life cycle structure facilitates the creation of various governance and feedback mechanisms<sup>49</sup>:

- **stages** – development work can be further subdivided into a series of management stages (usually referred to as ‘tranches’ in programmes) with work being authorised one stage at a time;
- **gate reviews** – these are conducted at the end of a phase, stage or tranche. Senior management will consider performance to date and plans for the next phase, stage or tranche before deciding whether it is viable;
- **post-reviews** – learning from experience is a key factor in maturity. Post-project and programme reviews document lessons learned for use in the future;
- **benefit reviews** – these measure the achievement of benefits against the business case.

<sup>47</sup> Managing Successful Projects with PRINCE2, 2009, The Stationery Office, p246

<sup>48</sup> Pardessus T., 2004, Concurrent engineering development and practices for aircraft design at Airbus, 24<sup>th</sup> International Congress of the Aeronautical Sciences (ICAS), available at [http://www.icas.org/ICAS\\_ARCHIVE/ICAS2004/PAPERS/413.PDF](http://www.icas.org/ICAS_ARCHIVE/ICAS2004/PAPERS/413.PDF) [accessed 24th August 2015]

<sup>49</sup> Taken from APMBOK+, Life Cycle, available at <http://www.knowledge.apm.org.uk/bok/life-cycle> [accessed 17th September 2014]

## Use of stage gates

A decision gate is an approval event and decision point in the life cycle where the project or programme has to demonstrate continuing viability and the required level of maturity. Entry and exit criteria are established for each gate<sup>50</sup>, and general objectives of each gate (whether they are for projects, programmes or system developments) include:

- Are current elaborations of business and technical baselines still acceptable? Are they still consistent with the organisation objectives?
- Are all aspects of the undertaking synchronised (to the required degree) and at the necessary level of maturity?
- Is the next step achievable and defined, with acceptable levels of risk?

Stage gates can be linked to release of funding and/or approvals for expenditure for the next stage, and/or payments to suppliers.

Whatever the different phases or stages in the life cycle, each stage should conclude with a Stage Gate Review. This is a go/no go decision point that has to be passed before the project can move to the next stage. Examples of Stage Gates in the life cycle are shown in Figure 28 and Figure 29 (note that Figure 29 is the life cycle shown previously in Figure 6). Gates are important for a wide range of SE and Project processes including organisational governance, management control, stakeholder engagement, risk management, quality management, requirements management and financial management, and thus again illustrate how life cycles, with corresponding stage gates, underpin all aspects of SE and P3M.

Further information can be found on Stage Gates from SEHBK (Section 3.2.2), APMBOK (Section 1.1), PRINCE2 (Managing Stage Boundaries process)<sup>13</sup> and the Gower Handbook on Programme Management (pp132-136)<sup>44</sup>.

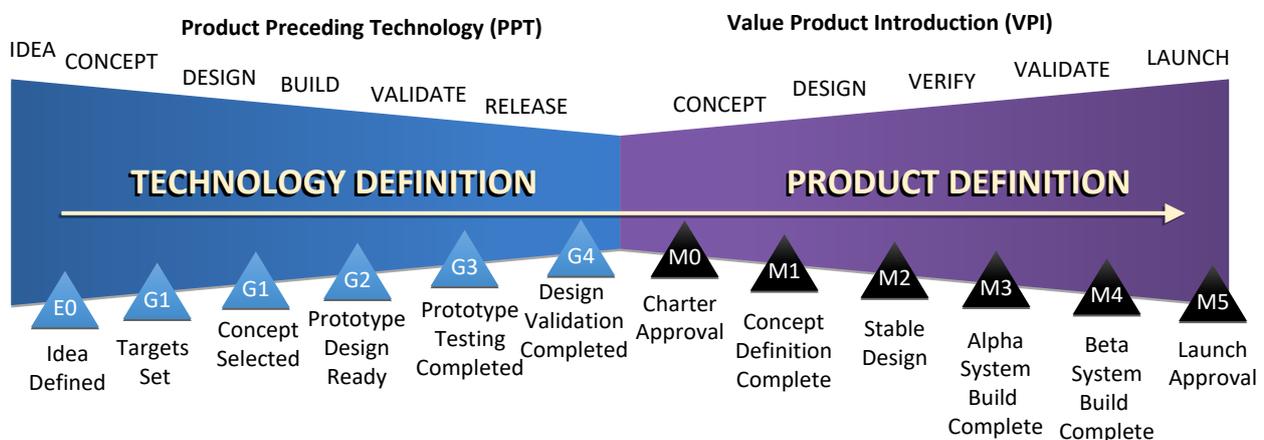


Figure 29: Example use of Stage Gates within the life cycle (©Cummins Turbo Technologies)<sup>18</sup>

<sup>50</sup> See also Table 2

## 9. Comparisons of SE and P3M definitions

In this chapter we consider the different perspectives between the SE and PM disciplines, and how combining representations could be used to provide an integrated systems view of the programme life cycle.

### SE and P3M perspectives

Systems Engineering and Project, Programme and Portfolio Management share common values in thinking about complex problems, delivering enduring change transformation, and bringing together disparate disciplines. In effect they present different perspectives on change, as illustrated in Figure 30.

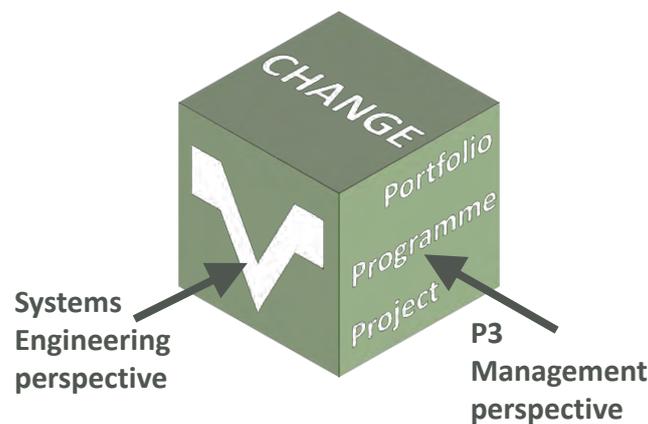


Figure 30: SE and P3M – different perspectives on change

These perspectives can be seen when considering the different life cycle categories. As already discussed, the life cycle scenario representations are typically examples of *business change life cycles* as referenced by the MoP® portfolio management framework; life cycle approaches can be used to determine elements of programme strategies, and the various SE development life cycle models define the activities to deliver projects within the framework of project management life cycle models. This generalised mapping is not universal – the critical consideration is to use the definitions to define the right tactics to define and undertake the change in the appropriate manner.

### System-of-interest life cycle vs a project/programme life cycle

As discussed and illustrated in section 7, the various definitions presented in the previous section illustrate a key difference between the SE outlook and the PPM outlook. The SE outlook is concerned with the full life cycle of the system-of-interest from inception to retirement. The PPM outlook is primarily focused on the change life cycle, the change being the activities that bring the (new or updated) system-of-interest into being (although noting that the APMBOK acknowledges the product life cycle and how it relates to the product life cycle).

It is therefore important that when determining the appropriate life cycle, the system engineer and the P3 manager have a consistent understanding of the various life cycles that are being used to underpin all the work. In addition, both should ensure that language and terminology are common across the disciplines.

This is especially important when discussing Stages and Phases where the two terms may mean different things to the two communities – as discussed in *Terminology* and *Programme tranches and project stages*. A Project Manager may be co-ordinating a Stage completion (based on the project stage objectives) whilst a System Engineer considers themselves part-way through the Development Stage (of the generic SE Life Cycle shown in Table 2).

### Commonality of descriptions

On close inspection there is a great deal of synergy and commonality between the two disciplines in the determination of the individual phases of the life cycles. Generic representations are consistent, translatable and transferrable. P3 and P3M life cycles typically contain less granularity as they are more focused on the need to underpin the control and governance aspects of the work, as opposed to helping to provide a detailed definition of the manner and means through which the development work will be undertaken.

It must be therefore noted that this detailed understanding of the development work to be undertaken is intrinsically important to the P3 Manager. It will underpin the means by which projects and programmes will be structured and planned (e.g. determining the *Project Approach* in a PRINCE2® Product Based Planning environment). This aspect of the levels of overlap and dependency of SE and P3 processes is addressed and developed further in “**Guide to SE and P3M Processes**”.

### Use of Vee Model to depict programme management strategy

The use of SE life cycle depictions do permeate through PM literature and process guidance. For example, reference is made to waterfall, Vee and Spiral models in the Life Cycle section of the APMBOK. Another example is the depiction of the strategic context of benefits realisation within a programme depicted by MSP<sup>51</sup>.

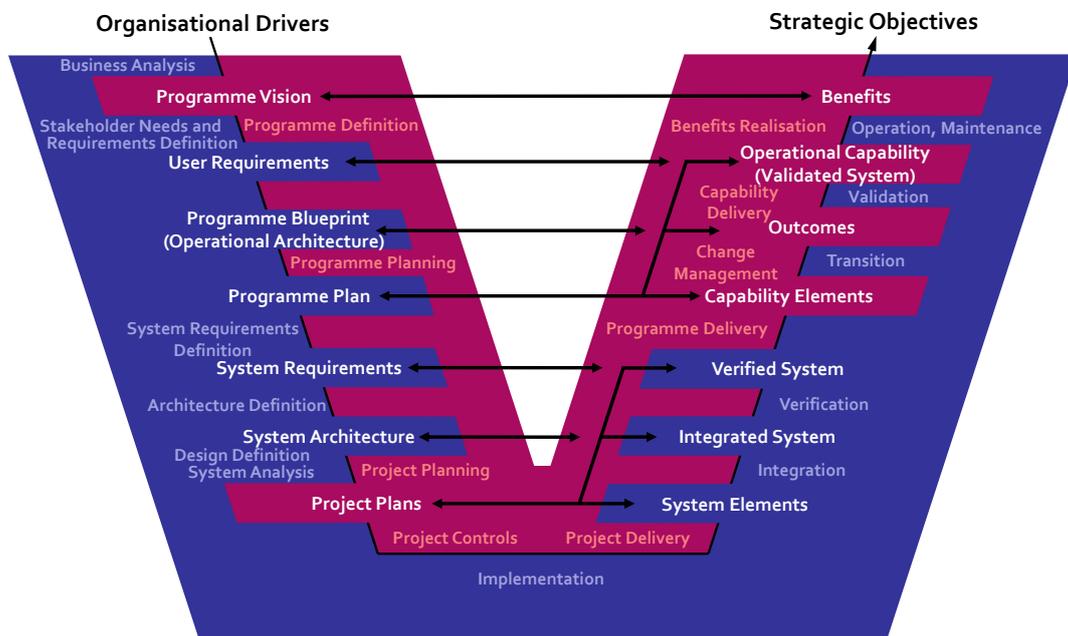


Figure 31: Programme Management as a Vee Model (encompassing SE elements)

Reinforced by an appreciation that benefits management and realisation is allied to, and complementary with, system verification and validation (when the programme is considered as a system itself), it is possible to

<sup>51</sup> Managing Successful Programmes, 2011, The Stationery Office, p66



expand this to encompass SE elements as shown in Figure 31. The associated guide “**Integration of Life Cycle Representations**” develops this integrated model further.

## 10. Life cycle selection and tailoring

---

*In this chapter we consider what has to be taken into account when selecting life cycle representations, and how these are to be used as a foundation for defining and communicating the work to be undertaken.*

### Align scenarios with strategic direction

Change life cycle scenarios are defined by the business according to the environment the business or organisation operates in, the nature of the market place, sources of competitive advantage, quality and safety requirements, the culture of the business itself, and the change that is required to be undertaken.

Businesses that are product based will define new-product life cycles that reflect the product life span, market conditions and key success factors<sup>52</sup>. These business will also undergo transformational change at some point, as will other organisations. For this change challenge they will need to define a transformational strategy that suits the organisational culture and principles and the nature of the change journey to be undertaken.

Above all, the selection of a business change life cycle scenario is a strategic decision to be undertaken by the senior management board. But these individuals need to be guided in the determination of this strategic direction by the needs of the P3M and SE communities who have to execute the specific changes within this structure – the business change life cycle needs to be aligned with, and enable, the approaches and models that will support the change.

### Selecting the life cycle approach

The life cycle approach depends on the project complexity, the budget, the appetite for risk and the overall business model. This section is intended to briefly cover some of the main life cycle approaches which can then be tailored to fit.

It is well recognised that there are different types of project. Obeng's classifications of project types, shown in Figure 32, are useful to help gain an understanding of the types of project and understand how a different lifecycle approach might be taken.

The alignment between some of the project types and approaches is relatively clear, for example you might want to select a base life cycle approach for a 'paint by numbers' project as it is good for defined problems and stable technologies. For the other problem types the requirement to select and tailor the right lifecycle approach will involve a further assessment of the project. Experimental approaches work well when the 'what' is unknown but if it is a 'movie' project and the 'how' is known then there might be an imperative to get a solution to market in which case an evolutionary life cycle might be selected. In order to select the right life cycle you need to understand the type of project, the types of risk held by the project and the risk appetite of the organisation.

---

<sup>52</sup> Grant R.M., Contemporary strategy analysis, 1998 3<sup>rd</sup> Ed., Oxford: Blackwell Publishers, p251-

What to do	Unclear	<p>Movie (Semi-open)            Organisation know the method to be used, or the method is restricted and has built up significant expertise in this area</p> <p>Benefits will be realised when the right goal is identified (the script)</p>	<p>Foggy (Open)            Organisation is attempting to do something that has not been done before</p> <p>Generally predicated by a political, legislative or socio-economic change</p> <p>Project may need an iterative lifecycle as requirements will become clearer as project matures</p>
	Clear	<p>Paint by Numbers (Closed)            Repetitive process where the goal and the method are both well understood and the skills available to deliver</p>	<p>Quest (Semi-closed)            Stakeholders are very sure of the goal but not sure how to get there</p> <p>Time needs to be spent defining the methodology</p>
		Clear	Unclear
		How to do it	

Figure 32: Obeng's descriptions of project types<sup>53</sup>

Table 3 below has been adapted to show some of the factors that you might want to take into account in selecting a lifecycle and the appropriateness of the lifecycle based on a high compatibility (green), medium compatibility (amber) and low compatibility (red) rating.

<sup>53</sup> adapted from Obeng E (1994) The Project Leaders Secret Handbook, Financial Times Prentice Hall

Table 3: Life cycle approach selection table (adapted from Adcock & Farncombe 2009<sup>12</sup>)

Factor	Base	Experimental	Incremental	Evolutionary
The problem and solution are stable and well understood	High	Low	Low	Low
There are some doubts stakeholders know what they want	Low	High	Low	Medium
There are doubts about the maturity of the technology	Low	High	Medium	Low
There is a rapid rate of technological evolution	Low	Medium	Medium	High
There is a rapidly changing/flexible operational need	Low	Medium	Medium	High
Customers are interested in short term functionality rather than waiting longer for a better solution	Low	Low	Medium	High
There is a business need to get the product to market in the shortest time possible	Low	Low	Medium	High
Technical linkage between system changes is required	Medium	Medium	Low	High
There is strong operational linking between system functionality	Medium	Medium	Low	High
It is impossible to deliver total system functionality in one chunk	Low	Low	High	Low

### The influence of risk

Risk and its management plays a significant part in the selection of the appropriate life cycle development and management model for undertaking projects<sup>54</sup>. The life cycle stages, particularly where programme or project management is aligned with risk management, are in turn associated with sources of common risks, and it is acknowledged that it is desirable to address risk earlier rather than later, before commitments are made and later change becomes increasing expensive.

Whilst it is focused on IT environments and not a definitive guide, the comparison made by Joanna Rothman<sup>55</sup> in Table 4 provides an illustration of risk-based selection criteria between sequential and iterative development models.

Other considerations include the stability of requirements (as also discussed in Table 4), the timescales involved, the level of integration and co-location of the project team, the scale of the project, the scope and influence of stakeholders and critical resources. However these considerations are also in essence sources of risk – hence the influence of risk on the selection and definition of the project development life cycle model.

<sup>54</sup> Chapman C & Ward S, *Project risk management: Processes, techniques and insights*, John Wiley & Sons: Chichester, 1997, pp13-24

<sup>55</sup> Rothman J., What Lifecycle? Selecting the Right Model for Your Project, *Cutter IT Journal*, Vol 21, #5, May 2008, available at <http://www.jrothman.com/2008/01/what-lifecycle-selecting-the-right-model-for-your-project/>

Table 4: An example of development model selection based on consideration of risk<sup>55</sup>

Life cycle type	Examples	Strengths and necessary conditions for success	Project drivers (in order)	Prognosis for success
<b>Serial</b>	Waterfall, phase-gate	<ul style="list-style-type: none"> <li>• Manages cost risk (if management uses the phase gates)</li> <li>• Known and agreed-upon requirements</li> <li>• Well-understood system architecture</li> <li>• Requirements stable over project</li> <li>• Project team stable over project</li> </ul>	<ol style="list-style-type: none"> <li>1. Feature set</li> <li>2. Low defects</li> <li>3. Time to release</li> </ol>	Successful with feedback
<b>Iterative</b>	Spiral, evolutionary prototyping	<ul style="list-style-type: none"> <li>• Manages technical risk</li> <li>• Ever-evolving requirements</li> </ul>	<ol style="list-style-type: none"> <li>1. Feature set</li> <li>2. Low defects</li> <li>3. Time to release</li> </ol>	Successful assuming the finishing parts are planned and occur
<b>Incremental</b>	Design to schedule, staged delivery	<ul style="list-style-type: none"> <li>• Manages schedule risk</li> <li>• Can absorb small requirements changes but not so many changes that the architecture would have to change</li> </ul>	<ol style="list-style-type: none"> <li>1. Time to release</li> <li>2. Low defects</li> <li>3. Feature set</li> </ol>	Likely to be successful if you actually follow the lifecycle
<b>Iterative / incremental</b>	Agile (such as Scrum, XP)	<ul style="list-style-type: none"> <li>• Manages both schedule and technical risk</li> <li>• Difficult to do well without a collocated integrated team</li> </ul>	<ol style="list-style-type: none"> <li>1. Time to release</li> <li>2. Feature set</li> <li>3. Low defects</li> </ol>	Likely to be successful if you actually follow the lifecycle
<b>Ad hoc</b>	Code and fix		<ol style="list-style-type: none"> <li>1. Time to release</li> <li>2. Feature sets</li> <li>3. Low defects</li> </ol>	Unsuccessful

### Follow, but monitor

Once a life cycle representation has been selected it provides a firm foundation for the manner and means by which the work is structured. However, as with the selection of any set of methodologies, that life cycle selection has to ensure that it is appropriate, and remains so. Geoff Reiss<sup>56</sup> has described some potential dangers:

*“I do believe that a methodology has as many dangers as benefits. The benefits are that a set of guidelines are established that are aimed to bring some order to the project life cycle. [...] One danger is that the methodology is inappropriate. It is not uncommon for a team of enthusiastic but green graduates or a team of near-retirement project people to be given the task of designing the project life cycle. The methodology is then rigidly followed by the project teams because it is the approved way of doing things.*

*Another danger is that the method becomes inappropriate as time passes, new techniques are developed and the company structure changes.*

*Methodologies need to be followed but they need to be reviewed from time to time.”*

<sup>56</sup> Reiss G., *Programme Management Demystified*, 1996, London: Spon Press (p113)



## Combine, but do not confuse

The discussions included in this guide are based on general, idealised and conceptual situations or frameworks. Practical applications will involve combined and tailored solutions to suit the situation. Life cycle representations can be successfully combined in different ways (noting that the categorisations are defined with a view to facilitate combinations and selections) but this must be done with caution – confusion over life cycles can be a source of failure.

An agile development environment can work within a project or programme management framework (an example of this are the AgilePgM® and AgilePjM® frameworks<sup>57</sup>), and can be effective when addressing key elements of uncertainty in the early phases of a programme or engaging with key stakeholders to achieve quick wins or early buy-in (for example the use of rapid prototyping. Projects based on agile and sequential development methods can also act in parallel within the same programme, but significant care must be taken in separating the two projects (and managing the relationships between them) as they require different project environments and potential cross-contamination will pose a clear danger to each project.

Don't select evolutionary iterative approaches and models in stable conservative organisations with long-term life cycles and markets if it is not appropriate. If it is appropriate, then consider the transformational changes needed to people, culture, organisation and behaviours.

There is much more emphasis on governance in the P3M Suite, and these frameworks are initiated early in the programme or project life cycles. This can create a tension between a focus on governance at the expense of time spent creatively scoping the problem, and is a potential cause of agile vs 'traditional' PM conflicts.

The selection of the life cycle will be a significant factor in the success of the undertaking. It facilitates discussion and analysis that, when combined with P3M and SE techniques, can develop a deeper understanding of the problem faced and its complexities. However the level of endeavour applied must be appropriate to the nature and scale of the problem faced – not every project is complex or facing significant risks.

---

<sup>57</sup> Refer to the Dynamic Systems Development Method (DSDM) frameworks at <http://www.dsdm.org>